



# **LEI SHING HONG SECURITIES LIMITED**

## **Fees Schedule – Hong Kong Securities**

<u>Items</u>	<u>Details</u>
1. Account Opening	Free of Charge
2. Minimum Commission	Min. HK\$75 per transaction
3. Contract Stamp	0.1 % (per each HK\$1,000 ) Round up to nearest dollar
4. Transaction Levy Trading Fee	0.0027% 0.005%
5. Clearing Fee	0.0025% on Consideration (Min. HK\$2 & Max. HK\$200)
6. T/D for Physical Scrip	HK\$5 per T/D
7. Settlement	Trade Day + 2 (Interest to be charged on overdue balance at HSBC Prime + 6%)
8. Dividend Collection Fee	0.5% of Dividend Amount (Min. HK\$20)
9. Registration Fee	HK\$1.5 per Board Lot
10. Registration of Physical Scrip	HK\$5 per Board Lot (Min. HK\$150)
11. Withdrawal of Scrip	HK\$5 per Board Lot (Min. HK\$25)
12. SI /ISI Transaction (Stock Withdrawal Only)	0.003% of gross value of SI/ISI (Min. HK\$20 & Max. HK\$200)
13. Bonus Issue/Rights Issue/Open Offer	HK\$20
14. Yellow form / Electronic application for IPO/ Exercise Fee (Including Warrants Conversion, Rights Subscription and Cash/Stock Offer etc.)	HK\$50
15. Corporate Actions Fee	HK\$0.80 per Board Lot
16. Entitlement Claim	HK\$200 plus CCASS charges
17. Share buy-in	HK\$100 plus CCASS charges
18. Safe Custody / Account Maintenance (For inactive account)	A half-yearly fee of HK\$0.15 per Board Lot (Min. HK\$100 & Max. HK\$2,000)
19. Bounced Cheque / Stop Payment Fee	HK\$100
20. Re-print Statement Within Current 2 Years Over 2 Years	HK\$20 per monthly statement HK\$50 per monthly statement

Effective Date: 1 Nov 2014